

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES**

In re Application of

Louis R. Degenaro, et al.

Serial No.: 10/665,564

Group Art Unit: 2165

Filed: September 22, 2003

Examiner: Syed, Farhan M.

For: VIRTUAL RESOURCES METHOD, SYSTEM, AND SERVICE

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450  
MAIL STOP Appeal Brief-Patents

**APPELLANTS' REPLY BRIEF ON APPEAL**

Sir:

Appellants respectfully respond herein to the Examiner's Answer mailed on April 22, 2011, for the above-referenced Application.

**(1)The disclosure in the publication document of Severin '261 is not fully supported by the provisional application, Severin '251.**

The Examiner alleged that the non-provisional application, Severin '261 is supported in its entirety by the provisional application Severin '251. The Examiner reasoned that "[a]ccording to MPEP 307, [i]f an application which claims the earlier filing date of a provisional application includes only subject matter which formed a

part of the provisional application, an assignment recorded against the provisional with be effective in the later application."

However, respectfully, the Examiner merely asserting a conclusion without being able to address the issues raised by Appellants in the Appeal Brief.

The Examiner cites MPEP 307 and mentions specifically about an assignment recorded against the provisional application. Respectfully, MPEP 307 relates to ownership and assignment to issue of patent to an assignee and does not appear to even include the text cited by the Examiner.

Respectfully, the Examiner should look instead to where a provisional patent application under the statutory requirements of 35 U.S.C. § 111(b) must satisfy the written description, enablement and best mode requirements of 35 U.S.C. § 112 in order for a later filed, non-provisional patent application to claim the benefit of the provisional application's filing date. As seen in the examples provided in the Appeal Brief, the written description, enablement and best mode requirements of 35 U.S.C. § 112 are not fully provided by the provisional application in order for the later filed non-provisional patent application to claim the benefit of the provisional application's filing date. See also, MPEP §601.

**(2) Severin '261 cannot be used as a reference since it has a later U.S. filing date than the present Application.**

The Examiner responds by stating that according to MPEP 901.03, a U.S. patent application publications are prior art under 35 U.S.C. 102(e) as of the earliest effective U.S. filing date of the published application. Ergo, the earliest effective filing date of Severin '261 is that of the provisional application filed July 2, 2003.

However, respectfully, this point is related to the previous point in that if the provisional application, Severin '251, does not satisfy all of the requirements of 35 U.S.C. §112, the non-provisional application, Severin '261 is then not entitled to the earlier filing date. Therefore, the non-provisional application of Severin '261 cannot be used as a reference in the anticipation rejection under 35 U.S.C. 102(e).

In addition, the recitation of the particular parts relied on (as required under MPEP §1.104) from the provisional application by the Examiner after prosecution is closed, does not properly afford the Appellants proper due process as would be provided if the prosecution is open.

**(3) The cited art does not teach or suggest constructing at least one virtual resource independent of an actual resource.**

The Examiner responds by alleging that the cited art teaches constructing (i.e. constructs. Furthermore, the Examiner finds support for construction in the provisional application, see page 6, which defines a constructor as a set of programming logic associated with the creation of an instance. The Examiner states

that this concept is consistent with Appellant's disclosure, see page 14, lines 17-20, which describe constructing as to allow authoring of logic by a non-programmer or the like.)(paragraph [0248]) at least one virtual resource independent ( a virtual model implementation. In addition, the Examiner finds support for a virtual model implementation as a model controller on page 24 of the provisional application. The Examiner notes that in Appellant's disclosure, see page 14, lines 22-25, resources are a loose term which in this context means data (e.g, databases, objects, attributes, etc.) or function (e.g. SOL queries, object method calls, etc .. Based on this rationale, the Examiner alleges that Severin discloses virtual implementation refers to assemblies of meta-implementation (including other virtual implementation for a specific descriptor), where virtual implementation may use a plain object oriented implementation.)(Paragraphs [0078, 0196, 0248]) of an actual resource (i.e. Severin discloses that during real implementation, or at run-time virtual resources are assigned to each descriptor . The Examiner finds support for actual resource in the view controller of the provisional application, see page 25.)(paragraph [0198, 0248, 0550]).

However, Severin '261 recites that "[a]ccessors may use the assemblies of virtual implementations in exactly the same way as a real implementation... [t]he difference is that virtual implementations are constructed (and optionally modifiable) at runtime. No source code is written, no source code is compiled, and

no source code needs to be managed." See ¶ 20 of Severin '261. Therefore, Severin '261 is not relating the virtual implementation with the virtual resources as claimed. The virtual implementation is constructed at run-time and no source code is authored.

The claimed invention, however recites that the virtual resource comprises a resource utilized at a logic authoring time, while the actual resource comprises a resource utilized at a runtime. Severin '261, however, has the virtual implementation constructed at run-time.

Therefore, the examples used by the Examiner of the virtual implementation and the separation (*e.g.*, "[t]he component integration engine server separates services for logical and security reasons. The primary separation is the virtual host" ) is not relevant. See ¶ 550 of Severin '261.

**(4) The cited art does not teach or suggest connecting the actual resource to the at least one virtual resource.**

The Examiner responds by stating that the cited art teaches connecting (*i.e.* Severin discloses creating connection between objects (*e.g.* virtual and actual) dynamically at run-time.)(paragraph [0523]) the actual resource to the at least one virtual resource (*i.e.* virtual resources are stored in a database. The Examiner finds support in the Provisional Application, see page 23, second paragraph, 'interaction

between components ... ")(paragraphs [0248, 0437]).

However, Severin '261 recites that "[a] component integration engine of the present invention may be used to create connections between objects dynamically at run-time instead of creating object connections at compile-time... [t]his statement is equivalent to saying that code is more reliable." See ¶ 523 of Severin '261, emphasis added by Appellants. Severin '261 is discussing the connections between objects at run-time and not a connection by the actual resource to the virtual resource as claimed.

**(5) The cited art does not teach or suggest extracting at least one descriptor from said at least one retrieved virtual resource, wherein said virtual resource comprises a resource utilized at a logic authoring time, while said actual resource comprises a resource utilized at a run time.**

The Examiner responds by stating that the cited art teaches extracting at least one descriptor from said at least one retrieved virtual resource (i.e. descriptor contains the descriptions of features and functionality allowed and required in an implementation. The Examiner further alleges that it is a specific type of metadata)(paragraph [0049]), wherein said virtual resource comprises a resource utilized at a logic authoring time (i.e. virtual implementation using logic-based program.)(paragraphs [0079, 0250]), while said actual resource comprises a

resource utilized at a runtime (i.e. Severin discloses that at run time model substitution, object substitution, functional substitution, and data substitution occurs at run-time. The Examiner also finds support for runtime in the Provisional Application, see at least pages 20-21.)(paragraphs [0089-0092, 0411-0412, 0523]).

As mentioned above, since the virtual implementation is constructed at run time, it is not related to the virtual resources. The "virtual implementation" refers to assemblies of meta-implementations. See ¶79 of Severin '261. However, the virtual implementations are constructed at runtime. See ¶248 of Severin '261.

Therefore, since the disclosures in Severin '261 does not teach the virtual resource and the actual resource as claimed, Severin '261 also fails to teach of extracting at least one descriptor from said at least one retrieved virtual resource.

Moreover, the correspondence to the provisional application '251 provided now by the Examiner is not relevant since the disclosure relied on by the Examiner does not teach the claimed invention.

As mentioned in MPEP 2131 for anticipation rejections, "[t]he identical invention must be shown in as complete detail as is contained in the ... claim." *Richardson v. Suzuki Motor Co.*, 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989)." Therefore, since as shown above and in the Appeal Brief, the identical invention is not shown in the complete details of the claims. Therefore, claims 1-37 are not anticipated by Severin.

## CONCLUSION

In view of the foregoing, Appellants submit that claims 1-37, all of the claims presently pending in the application, are patentably distinct over the alleged prior art of record and are in condition for allowance. Thus, the Board is respectfully requested to remove the rejections of claims 1-37.

The Commissioner is hereby authorized to charge any deficiency in fees or to credit any overpayment in fees to Assignee's Deposit Account No. 50-0510.

Respectfully Submitted,



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